



Rec'd PCT/PTO 10 SEP 2004 #3

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:	Schilling et al.	Examiner:	Unassigned
Serial No.:	10/501,586	Group Art Unit:	Unassigned
Confirmation No:	Unassigned	Docket:	1093-106 PCT/US
Filed:	July 14, 2004	Dated:	September 8, 2004
For:	DIFFRACTIVE SECURITY ELEMENT HAVING AN INTEGRATED OPTICAL WAVEGUIDE		

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In order to fulfill the requirements of candor and good faith set forth in 37 C.F.R. §1.56, Applicants submit herewith the following Information Disclosure Statement in accordance with the provisions of 37 C.F.R. §1.97 and §1.98.

UNITED STATES PATENTS

<u>PATENTEE</u>	<u>PATENT NO.</u>	<u>ISSUE DATE</u>
Knop	4,426,130	January 17, 1984
Takeuchi et al.	4,856,857	August 15, 1989

FOREIGN PATENT DOCUMENTS

<u>COUNTRY</u>	<u>PUBLICATION NO.</u>	<u>PUBLICATION DATE</u>
EPO	EP 0 895 100 A2	February 3, 1999
EPO	EP 0 534 795 A2	March 31, 1993
EPO	EP 0 375 833	July 4, 1990
EPO	EP 0 105 099	April 11, 1984
PCT	WO 83/00395	February 3, 1983
PCT	WO 99/47983	September 23, 1999
German	DE 3308831 A1	May 10, 1984

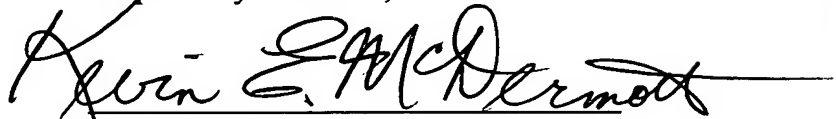
NON-PATENT PUBLICATIONS

Rudolf L. van Renesse, "Optical Document Security", Second Edition, pgs. 274-287, Artech House, 1998.

The above-referenced documents are listed on PTO Form 1449 and copies of the cited documents are enclosed to facilitate reference to them.

Applicants are not aware of any other references to be identified at this time. If the Examiner has any questions or comments relating to the present application, he or she is respectfully invited to contact Applicants' attorney at the telephone number set forth below.

Respectfully submitted,

A handwritten signature in black ink, reading "Kevin E. McDermott", with a long horizontal flourish extending to the right.

Kevin E. McDermott
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Attorney for Applicants

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191414_1

FORM PTO-158 (Rev. 2-32) U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
1093-106 PCT/USSERIAL NO.
10/501,586INFORMATION DISCLOSURE
STATEMENT BY APPLICANTAPPLICANT
Schilling et al.CONFIRMATION NO.
Unassigned

(Use several sheets if necessary)

FILING DATE
July 14, 2004GROUP
Unassigned

U.S. PATENT PUBLICATIONS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
		4,426,130	01/17/84	Knop			
		4,856,857	08/15/89	Takeuchi et al.			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
		EP 0 895 100 A2	02/03/99	EPO				
		EP 0 534 795 A2	03/31/93	EPO				
		EP 0 375 833	07/04/90	EPO				
		EP 0 105 099	04/11/84	EPO				
		WO 83/00395	02/03/83	PCT				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

			Rudolf L. van Renesse, "Optical Document Security", Second Edition, pgs. 274-287, Artech House, 1998.
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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	ATTY. DOCKET NO. 1093-106 PCT/US	SERIAL NO. 10/501,586
	APPLICANT Schilling et al.	CONFIRMATION NO. Unassigned
	FILING DATE July 14, 2004	GROUP Unassigned

U.S. PATENT PUBLICATIONS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
		WO 99/47983	09/23/99	PCT				
		DE 3308831 A1	05/10/84	German				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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